**Trapping analysis of a magnetic electron by a circularly polarized electromagnetic wave in static electric field**

**Abstract**

An ODE math model is presented to illustrate the trapping effect of electrons in a static electric field by an electromagnetic wave through Normal Doppler Resonance (NDR) and Anomalous Doppler Resonance (ADR) under a uniform background magnetic field. When the electromagnetic wave intensity surpasses a threshold, the electron’s parallel velocity becomes trapped and oscillates within a pseudo-potential well. In this trapping region, energy from the static electric field is continuously converted into gyrokinetic energy. The energy transfer ratio from the static electric field to the gyrokinetic is calculated and compared with predictions from quantum theory, showing good agreement.

**I. Introduction**

The manipulation of particles via electromagnetic (E.M) waves is a powerful and versatile technique, primarily employed for two key objectives: particle acceleration and trapping. In the context of acceleration, one prominent mechanism is autoresonance, wherein a charged particle sustains phase synchronization with an EM wave through nonlinear effects. A well-known example is the Gyro-Resonant Accelerator[[1-3](#_ENREF_1)], in which electrons resonate within a time-varying magnetic field while interacting with a fixed-frequency EM wave, the smooth augmentation of the magnetic field is automatically accompanied by a correspondent growth of the relativistic electron mass. Additional acceleration mechanisms include betatron resonance[[4-6](#_ENREF_4)] and ponderomotive-force-driven acceleration[[7](#_ENREF_7), [8](#_ENREF_8)]. On the other hand, Velocity-accelerating can also be achieved through Landau resonant, wherein electrons with velocities near the phase velocity of a longitudinal wave become confined within the associated potential well, and then through increasing the phase velocity along the electron trajectory, the electron will also be accelerated due to the trapping effect. For particle trapping, spatial confinement can be achieved using methods such as optical tweezers or ponderomotive potential wells generated by the spatial interference patterns of laser beats [[9](#_ENREF_9)].

Beside the physics application, Ream of papers describe the phenomenon of the trapping effect between EM wave and electron in universal space and plasma device such as tokamak. For example, the trapped electron in chorus wave nonlinearity or time domain structures (TDS), the relativistic electron precipitation by EMIC waves (electromagnetic ion cyclotron mode), and the resonance with whistle mode wave.

Although the interaction between test particles and EM waves has been extensively studied[[10-18](#_ENREF_10)], like the resonant between electron and electrostatic field or electromagnetic wave under magnetic field .Few studies have incorporated the static electric fieldinto the interactions between electron and E.M wave under magnetic field, despite its relevance in phenomena such as runaway electron generation in tokamaks and pitch angle scattering in earth space, where there exist both the electrostatic field, background magnetic field and E.M wave likes whistle wave; Building upon pseudopotential approaches used to study electron pitch-angle scattering in EM waves[[10](#_ENREF_10)], we extend existing models by introducing a static electric field, thereby uncovering a previously unexplored form of resonant trapping in EM wave–particle interactions. To the best of our knowledge, in this study we first numerically investigate trapping phenomenon in which electron’s velocity within a static electric field become confined at the Normal Doppler Resonant or Anomalous Doppler Resonant in a uniform magnetic field. During trapping, the work performed by the static electric field is continuously converted into gyrokinetic energy or E.M wave, thereby sustaining resonance condition with the EM wave, and the energy transfer from static electric field also agree with the quantum theory prediction and satisfied with angular conservation model.

The structure of this paper is as follows: In Section II, we develop a mathematical framework describing the interaction between an electromagnetic wave and an electron subjected to a static electric field that is colinear with a uniform background magnetic field. Section III presents a numerical investigation of the resulting trapping dynamics, including a detailed analysis of the underlying physical mechanism and the derivation of critical threshold conditions necessary for trapping. In Section IV, the theoretical predictions are benchmarked against results from quantum theory to assess consistency and validate the model. Section V offers a comprehensive discussion of the findings, and Section VI concludes the paper with a summary of the principal results and their potential implications.

**II. electron-electromagnetic wave interaction analysis**

**2.1 Field equations**

To analyze interaction between electron and E.M wave, we consider an E.M wave propagate along uniform magnetic field whose phase velocity , where ω is the angular frequency and k is the wavenumber. The uniform background magnetic field is B₀ = B₀ẑ. The wave's magnetic field perturbation B̃ is characterized by the dimensionless parameter ≡ |B̃|/B₀, such that the total magnetic field becomes **B** = B₀ẑ + B̃. The system includes a static electric field E₀ = E₀ẑ, with the total electric field given by **E** = E₀ẑ + Ẽ, where Ẽ represents the electric field components of E.M wave:

Here, is the electric field amplitude of E.M wave, and the polarization satisfies the left-hand circular polarization (LCP) condition when = 1, and right-hand circular polarization (RCP) condition when = -1.

Faraday’s law requires the associated magnetic field to be

Here .

**2.2 Transformation to the wave frame**

In wave frame, which denotes as prime and moves at constant velocity with respect to the lab frame, the fields are

Where . Substituting the wave fields Eq. , Eq. into Eq., Eq. gives and .

Since and {**x**, ict} are relativistic four-vectors, we have

Here and are the wavenumber and position in the wave frame. The magnetic field of E.M wave is

The motion equation of the charge particle in the prime frame is

Where , m is the rest mass of electron and q is the electron charge with q = -e. Note that ,, and differ and should not be confused with each other. The derivation of energy to t’ should be equal to

Here is the nonrelativistic electron cyclotron frequency in the lab frame with . Introduce and , , according to Eq. , Eq. , we have

Here

**2.3 Construction of pseudo-potential problem**

Taking the derivative of Eq. with respect to t’ gives

And

According to Eq. and , we have

While in Eq. , consider typically and which is suitable in most case of Tokamak environment, we have

Finally, in the right side of Eq.

While for and , which is satisfied in most of situation, we can confidently ignore the second term in the right side of Eq. , then

Combing Eq. with Eq. , we have

Substituting Eq. into Eq. gives

The time derivation of wave magnetic field in wave frame is

where . By substituting Eq. into Eq. and simplify Eq. gives

Since , finally

**2.3.1 connect with**

To obtain the relationship between and , taking the time derivation of gives

where

and

Here we ignore the time derivation of in Eq. and . Finally, we have

The equation is first-order linear differential equation with the form:

Where , and The exact solution of z is

here and , . Since |P| << 1 in most case, we have

Here we choose the initial condition , which implies C0 = 0. As a result, the expression Eq. can simplifies to:

The substitution of Eq. into Eq. gives

Integrating Eq. with gives:

Here , and represent the initial condition of , and .

Noting that t = 0 corresponds to z’=0, since z = 0 at t= 0, and recalling the four vectors {}, we have the relation:

Since is in the y direction when z’ = 0 as shown in Eq. , it follows that

Where is defined by and , . With these definitions, Eq. becomes

The substitution of Eq. into Eq. gives

Simplifying Eq. , considering and substituting for gives:

Here The Eq. could also be written as

Where

Multiplying Eq. by and integrating gives a pseudo-energy equation

Where

And

Here .The pseudo potential can only be solved numerically, as it does not have a regular form involving only the parameter , here also depends on t’, which is related to and .

**2.4 Initial condition**

From Eq. , we see that

Here, we use the relation and . At the initial time t = 0 and position z = 0, we have

**2.6 Solve**

According to Eq., multiplying on both sides gives

Reorganize the equation, we have

According to Eq., multiplying on both sides gives

Add Eq. and Eq. , we have

Which means energy change ratio equal to work done by static electric field in moving frame.

Assume is determined by , integrating both side with t’ gives

**III. Numerical study of the trapping effect**

According to Eq. **Error! Reference source not found.** and Eq., the velocity could be numerically solved by ode45, and subsequently, can be determined from Eq. . Then, using the four-vector {}, we have:

Based on the resonance condition given in Eq. , the maximum resonant velocity is given by , where . To ensure that the resonant velocity remains within the linear regime of , the condition must be satisfied, as required by Eq. **Error! Reference source not found.**, it follows that the refractive index must fulfill the inequality and finally .

In case I, Fig. 1 illustrates an example of an untrapping scenario with Ew=40 V/m. For the static electric field E0 < 0, the direction of the electric field is opposite to that of the background magnetic field. As a result, the parallel velocity increases over time, as shown in Fig.1(a). Since here because of , the value of decreases as increase according to Eq. **Error! Reference source not found.**. When the parallel velocity satisfies the anomalous doppler resonance condition where , the perpendicular velocity increase abruptly, as shown in fig.1 (b-d), After the parallel velocity exceeds the resonance condition, the will no longer increase. The phase evolution of and is shown in fig.(e). It can be observed that the fluctuation of is stronger for than for . This is because the increase of and is proportional to as shown in Eq. . Fig.(f) illustrates the pseudo-potential , defined as .The initial pseudo-kinetic energy . Although the pseudo-potential increases after the resonance point (ξ<0), the initial pseudo-kinetic energy remains greater than the pseudo-potential throughout, indicating that no trapping occurs.

In case II, the electric field of the electromagnetic wave is increased to 40 V/m. As the electron’s parallel velocity approaches the resonant velocity, it no longer increases continuously but begins to oscillate around the resonant velocity, as shown in Fig. 2(a). While on the other hand, the perpendicular velocity increases continuously when trapping in resonant region, as shown in Fig. 2(b-d). The phase trajectory of ( is shown in Fig.2 (e). The closed-loop structure indicates periodic motion around the resonant point, and the direction of motion is labeled with arrow. The electron can only propagate within the region where the pseudo-potential is lower than the initial pseudo-kinetic energy E0. When the pseudo-potential tends to suppress the E0, the electron velocity rebounds upon reaching the boundary of the pseudo-potential well. Consequently, the electron becomes confined within the well, the width of the pseudo-potential well also increases, since it is influenced by the parameter . This bounce effect, shown in Fig. (f), illustrates the trapping phenomenon.

Since the pseudo-potential Δψ(ξ) depends on ​, we introduce a new coordinate defined as ​, and relabel ξ as ​ to emphasize its association with the parallel direction. This transformation enables clearer visualization of the pseudo-potential structure in the (ξz​,ξ⊥​) plane. An example is shown in Fig. 3, which compares the two scenarios described in Case I and Case II. It can be seen that when the electron becomes trapped under the resonant condition, it slips into a “deep potential valley” that extends further along the direction. In contrast, if the electron passes through the resonant region without being trapped, it continues on a “highway”-like trajectory without further obstruction.

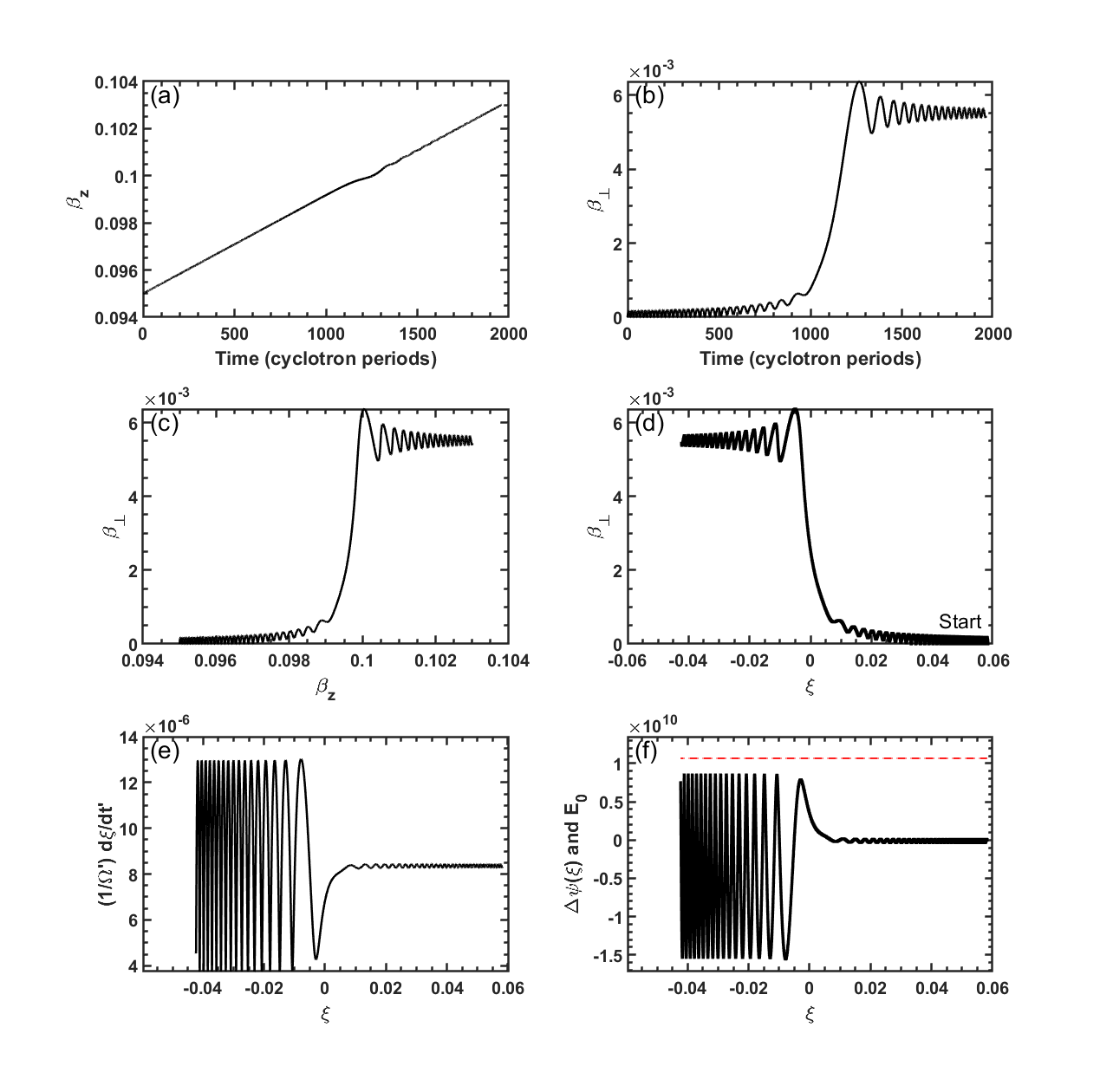


Figure . Numerical integration of Eq. with initial equation Eq. . Input parameters are E0 =- 20 V/m, Ew = 40 V/m, B0 = 0.1 T, , initial pitch angle =0, initial , 0, n = 50. This give (a) The time evolution of . (b) The time evolution of .(c)The velocity phase in ( , . (d)The evolution of with . (e) The evolution of with ξ. (f)The pseudo-potential (black line)and the initial pseudo-kinetic energy E0 (red dash line)

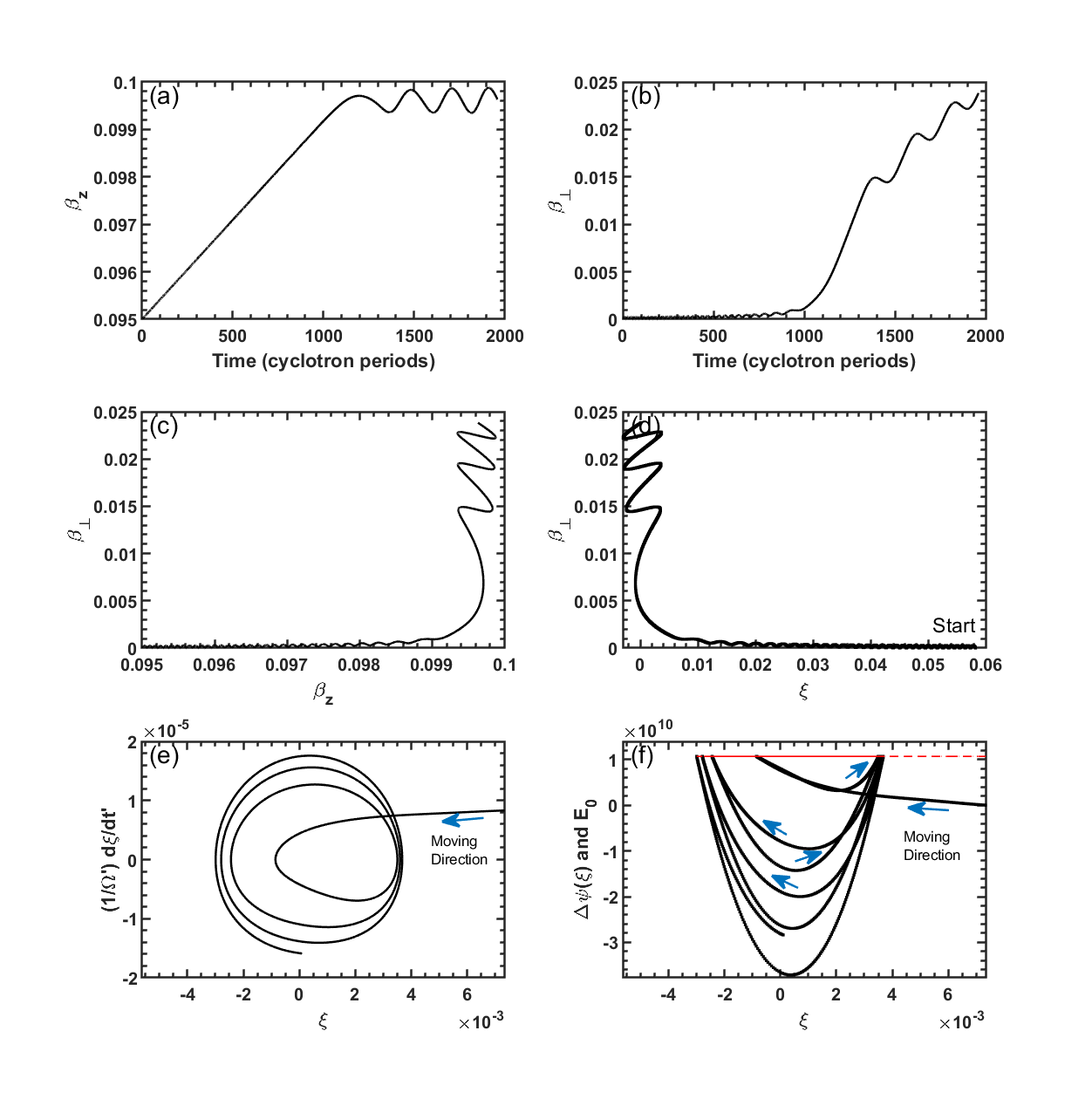


Figure . Same as Fig. (1) except with Ew = 60V/m. This gives but same . Panels (e) and (f) show a zoomed-in view around .

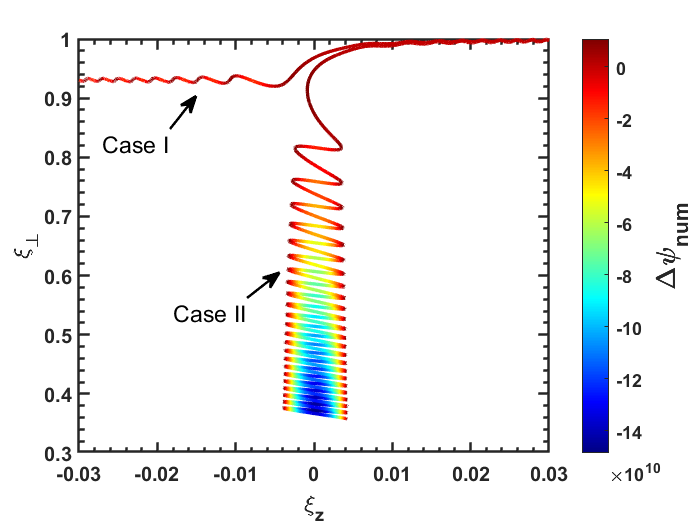


Figure . Pseudo-potential Δψ mapped along the electron trajectory in the () plane.

To determine the critical boundary of the trapping region, according to Eq. and Eq., if we consider the initial perpendicular velocity to be approximately zero ( ), then s =0 and the equations is governed only by two coefficients: and .It is possible to find the boundary by scanning the parameters of and . As shown in Fig. 4, the trapping region is indicated in yellow, while the blue region corresponds to the passing regime. Case I and Case II are marked with star symbols in the figure, located in the passing and trapping regions, respectively. Since we only consider the situation where , we can approximate , then . Under this assumption, one can readily determine whether the electron will be trapped by calculating and and identifying the corresponding location on the parameter map.

**IV. Benchmark with quantum theory**

One characteristic worth pointing out is that when the electron is trapped in the electromagnetic wave, the energy transfer from the static electric field to the gyrokinetic energy is governed by quantum theory (QE theory) [[19](#_ENREF_19)]

Here n = 1, and for anomalous Doppler resonance, ,Therefore, can be written as

For we have 0.8. To numerically calculate the energy transfer ratio, we evaluate the work done by the static electric field during resonance:

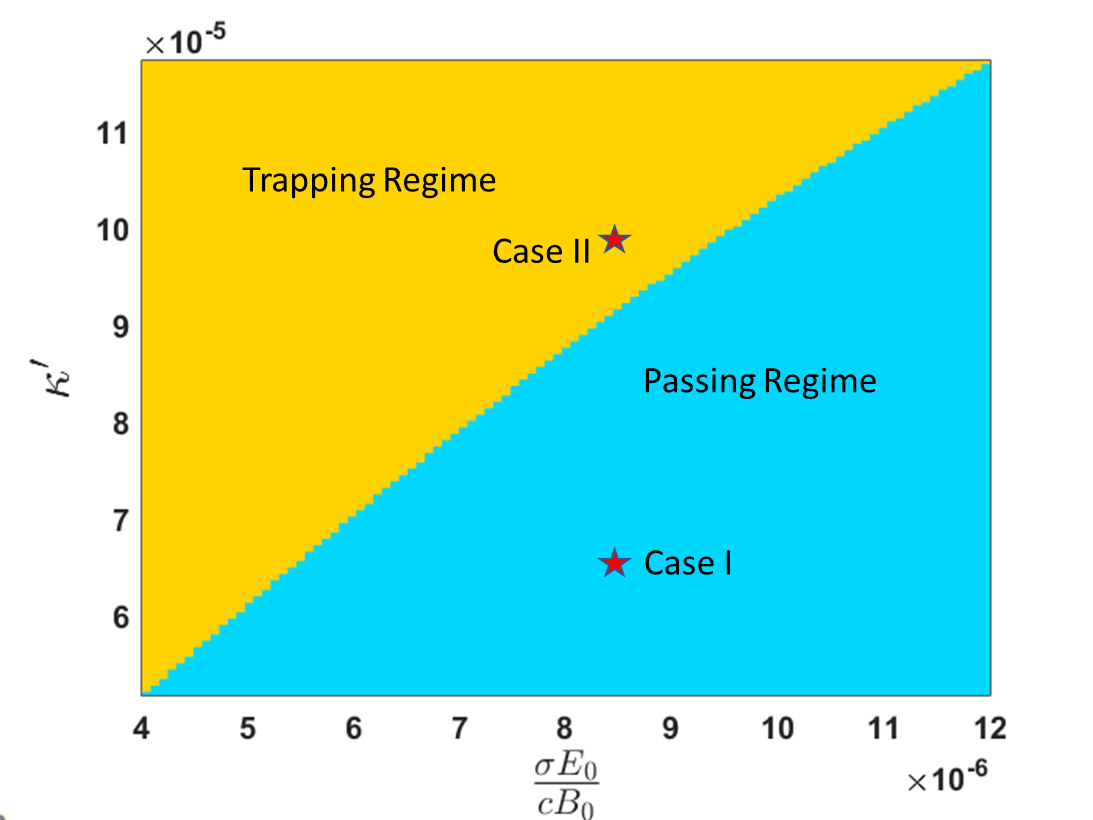


Figure . Parameter space of () showing electron trapping (yellow) and passing (blue) regimes. Here the initial condition is set to and the simulation time spans the interval (0 4960) cyclotron periods, which could ensure the electron has sufficient time to interact with the resonant region.

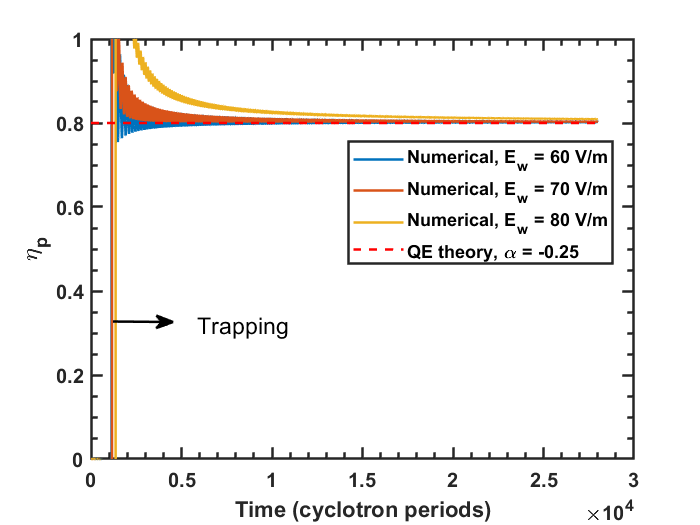


Figure . Energy transfer ratio from the static electric field to the gyrokinetic energy. The parameters used here are the same as in Figure 2 except Ew. QE theory means theory based on quantum equation.

Here refers to the beginning of trapping time. The increase of perpendicular energy is given by

Finally, the energy transfer ratio is calculated as .

The ηₚ values obtained from the two methods are illustrated in Fig. 5. As electron is trapped by electromagnetic wave, the energy transfer ratio from the numerical results tends to approach the theoretical prediction, and this ratio is independent of the wave’s intensity. The agreement between the numerical and theoretical results confirms both the accuracy of the simulation and the consistency between quantum theory and classical dynamics.

**V. Discussion**

This trapping effect can also be qualitatively understood through the conservation of angular momentum and linear momentum. We can draw an analogy by treating the cyclotron electron as a system that contains both internal and kinetic energy — where the internal energy refers to the gyrokinetic energy and kinetic energy refers to its translational motion along the magnetic field. When this system is stimulated by an external left-hand polarized electromagnetic wave, it undergoes stimulated emission, radiating a wave identical to the external one.

Since the emitted wave travels in the same direction as the system, conservation of linear momentum requires the system to lose some of its parallel momentum. Simultaneously, because the electron possesses right-hand polarization (associated with positive angular momentum), while the emitted wave has left-hand polarization (associated with negative angular momentum), the system must gain angular momentum to conserve total angular momentum between the electron system and the emitted photons.

As a result, the system loses kinetic energy and gains gyrokinetic energy. However, a static electric field continues to replenish the lost kinetic energy. When the rate of energy loss to the wave balances the energy input from the electric field, the electron's parallel velocity ceases to increase, and the electron becomes trapped in the electromagnetic wave. This manifests as a continuous transfer of energy from the static electric field to the gyrokinetic energy of the system.

**VI. Summary**

In conclusion, we illustrate that during electron trapping, the parallel velocity behaves like an oscillation in a potential well, while the perpendicular velocity continuously increases. The parameter boundary of the trapping region is given by the condition . In the low refractive index region, this trapping effect is also expected to occur but is beyond the scope of this paper, as the linear relationship between ξ and βz ​ breaks down under resonant conditions. The energy conservation ratio between the numerical simulation and quantum theory is also provided, showing strong agreement.

This trapping phenomenon might have multiple applications like electron acceleration, wave generation, and so on. The simple model may be worth more consideration in runaway suppression in tokamak, as the high energy would cause severe damage to the device[[20](#_ENREF_20)], and the idea that by suppressing runaway electron energy through anomalous resonance is an attractive method, which has been proved by some experiment[[21](#_ENREF_21)]. For example, in magnetic mirror plasma devices, electrons can escape confinement through the so-called loss cone, defined by the condition[[22](#_ENREF_22)] , where and are the maximum and minimum magnetic field strengths, respectively, and and are the parallel and perpendicular components of velocity at the position of minimum magnetic field. Typically, can be approximated by the thermal velocity , so electrons with will escape from the confinement region. Injecting electromagnetic waves from both ends of the magnetic mirror to trap electrons with velocities below this threshold may offer an effective mechanism for reducing particle losses.

Appendix:

**a. Prove the relationship between resonant condition and**

The parameter characterizes the frequency mismatch relative to the resonance condition given by

This relationship can be derived as follows: Starting from the definition of σ, we have:

Since {} are four-vector, we have Substituting Eq. and Eq. into Eq. **Error! Reference source not found.** gives

**References**

[1] Golovanivsky K S 1982 The Gyrac - a Proposed Gyro-Resonant Accelerator of Electrons *Ieee T Plasma Sci* **10** 120-9

[2] Gal O 1989 GYRAC: a compact, cyclic electron accelerator *Ieee T Plasma Sci* **17** 622-9

[3] Shprits Y Y, Runov A and Ni B 2013 Gyro‐resonant scattering of radiation belt electrons during the solar minimum by fast magnetosonic waves *Journal of Geophysical Research: Space Physics* **118** 648-52

[4] Pukhov A, Sheng Z M and Meyer-ter-Vehn J 1999 Particle acceleration in relativistic laser channels *Physics of Plasmas* **6** 2847-54

[5] Bai M, Lee S, Glenn J, Huang H, Ratner L, Roser T, Syphers M and Van Asselt W 1997 Experimental test of coherent betatron resonance excitations *Physical Review E* **56** 6002

[6] Baartman R 1995 Betatron resonances with space charge *Proceedings of Space Charge Physics in High Intensity Hadron Rings (Shelter Island, New York, USA, 1998)*

[7] Tajima T and Dawson J M 1979 Laser Electron-Accelerator *Physical Review Letters* **43** 267-70

[8] Yu W, Yu M, Ma J, Sheng Z, Zhang J, Daido H, Liu S, Xu Z and Li R 2000 Ponderomotive acceleration of electrons at the focus of high intensity lasers *Physical Review E* **61** R2220

[9] Sheena Z, Ruschin S, Gover A and Kleinman H 1990 High-Efficiency Nonadiabatic Trapping of Electrons in the Ponderomotive Potential Wells of Laser Beats *Ieee J Quantum Elect* **26** 203-6

[10] Bellan P M 2013 Pitch angle scattering of an energetic magnetized particle by a circularly polarized electromagnetic wave *Physics of Plasmas* **20**

[11] Bourdier A and Gond S 2000 Dynamics of a charged particle in a circularly polarized traveling electromagnetic wave *Physical Review E* **62** 4189-206

[12] Liu H, He X, Chen S and Zhang W 2004 Particle acceleration through the resonance of high magnetic field and high frequency electromagnetic wave *arXiv preprint physics/0411183*

[13] Nusinovich G S, Korol M and Jerby E 1999 Theory of the anomalous Doppler cyclotron-resonance-maser amplifier with tapered parameters *Physical Review E* **59** 2311

[14] Nusinovich G S, Latham P and Dumbrajs O 1995 Theory of relativistic cyclotron masers *Physical Review E* **52** 998

[15] Qian B L 1999 An exact solution of the relativistic equation of motion of a charged particle driven by a circularly polarized electromagnetic wave and a constant magnetic field *Ieee T Plasma Sci* **27** 1578-81

[16] Qian B L 2000 Relativistic motion of a charged particle in a superposition of circularly polarized plane electromagnetic waves and a uniform magnetic field *Physics of Plasmas* **7** 537-43

[17] Roberts C S and Buchsbaum S 1964 Motion of a charged particle in a constant magnetic field and a transverse electromagnetic wave propagating along the field *Physical Review* **135** A381

[18] Weyssow B 1990 Motion of a single charged particle in electromagnetic fields with cyclotron resonances *Journal of plasma physics* **43** 119-39

[19] Nezlin M V 1976 Negative-energy waves and the anomalous Doppler effect *Soviet Physics Uspekhi* **19** 946

[20] Bozhenkov S A, Lehnen M, Finken K H, Jakubowski M W, Wolf R C, Jaspers R, Kantor M, Marchuk O V, Uzgel E, VanWassenhove G, Zimmermann O, Reiter D and Team T 2008 Generation and suppression of runaway electrons in disruption mitigation experiments in TEXTOR *Plasma Physics and Controlled Fusion* **50**

[21] Santini F, Barbato E, De Marco F, Podda S and Tuccillo A 1984 Anomalous Doppler resonance of relativistic electrons with lower hybrid waves launched in the Frascati tokamak *Physical review letters* **52** 1300

[22] Kolmes E J, Ochs I E and Fisch N J 2024 Loss-cone stabilization in rotating mirrors: thresholds and thermodynamics *Journal of Plasma Physics* **90** 905900203